



Modern Slavery and Human Trafficking Statement 2019

This statement is made pursuant to section 54(1) of the Modern Slavery Act 2015 (the “Act”) and constitutes the slavery and human trafficking statement of Eurizon SLJ Capital Limited (“ESLJ”) for the financial year ending 31 December 2019.

This statement has been approved by the Chief Operating Officer of ESLJ.

The business and organisation structure

Founded in 2011, ESLJ is an investment management company providing investment and advisory services. ESLJ is authorised and regulated by the Financial Conduct Authority in the UK.

Zero tolerance policy

ESLJ has zero tolerance for either slavery or human trafficking and is committed to implementing the necessary procedures in order to monitor and reduce the risk of its occurrence in our supply chain. Contact Details for Enquiries

Overall risk level in our business

Higher risks of modern slavery are associated with extractive and manufacturing industries, sectors with a predominance of low paid unskilled labour, and firms with global supply chains in less economically developed countries.

Employees

The ESLJ business is the provision of investment management and investment advisory services to certain investment vehicles (such as funds). ESLJ strives to recruit, develop and retain the best quality people, and candidates are subject to a rigorous selection process, with all hiring decisions requiring approval by members of ESLJ senior management. Employment contracts contain certain information between ESLJ and staff, including salaries, working hours, grievance procedures and termination rights.

Suppliers

The vast majority of our global suppliers by value and volume are located in economically developed countries and, for those few that are not, the services we receive (such as research and legal services) are delivered by well paid professionals either in the Group or in external reputable firms.

Conclusion

Given the nature of our business and the quality of the services we receive, we consider ourselves at no risk of modern slavery or human trafficking within our business and at minimal risk in our supply chain.

Ongoing procedures and training

A limited number of staff are permitted to authorise expenditure by their departments or officer (the “Authorisers”). All Authorisers are provided with advice on the requirements of the Act, the identification of higher risk suppliers (by country or sector) and the need to consult internally and put in place adequate protection in higher risk cases.

For further information, please email compliance@eurizonslj.com.